



Receipt

PATENT  
1508/3170 (A0834)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Baldonado et al. )  
Serial No : 09/717,278 )  
Cnfrm No : To Be Assigned )  
Filed : November 22, 2000 )  
For : SYSTEMS AND METHODS FOR )  
PERFORMING SENDER-INDEPENDENT )  
MANAGING OF ELECTRONIC )  
MESSAGES )

Examiner:  
Unknown

Art Unit:  
2152

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JUN 19 2001

Technology Center 2100

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

Dear Sir:

Applicants hereby request a corrected filing receipt for the above-identified application. On the enclosed copy of the official filing receipt, the applicant data for the third inventor reads "William C. Janssen JR., Mountain View, CA" but should read "William C. Janssen Jr., Mountain View, CA". A copy of the signed combined declaration and power of attorney as filed is enclosed herewith.

Since Applicants are not responsible for this error, no fee is enclosed.

Respectfully submitted,

Dated: March 14, 2001

Gunnar G. Leinberg  
Registration No. 35,584

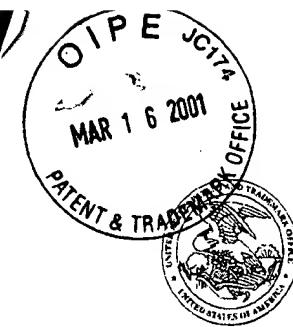
Nixon Peabody LLP  
Clinton Square, P.O. Box 31051  
Rochester, New York 14603-1051  
Telephone: (716) 263-1014  
Facsimile: (716) 263-1600

Certificate of Mailing - 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date below.

3/14/01  
Date

Sherri A. Mescal



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/717,278	11/22/2000	2152	790	1508-3170	8	20	4

**FILING RECEIPT**

Gunnar G Leinberg Esq  
Nixon Peabody LLP  
Clinton Square  
P O Box 31051  
Rochester, NY 14603

**RECEIVED**

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Technology Center 2100



\*OC000000005827179\*

Date Mailed: 03/05/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Michelle Q. Wang Baldonado, Palo Alto, CA ;  
Paula S. Newman, Los Altos, CA ;  
William C. Janssen JR., Mountain View, CA ;

**Continuing Data as Claimed by Applicant**

**Foreign Applications**

If Required, Foreign Filing License Granted 03/05/2001

**Title**

Systems and methods for performing sender-independent managing of electronic messages

**Preliminary Class**

709

**Data entry by :** DILLON, LAWANDA

**Team :** OIPE

**Date:** 03/05/2001



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

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**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:



PATENT APPLICATION

Attorney Docket No. 1508.3170  
D/A0834

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **SYSTEMS AND METHODS FOR PERFORMING SENDER-INDEPENDENT MANAGING OF ELECTRONIC MESSAGES.**

the specification and claims of which

are attached hereto      OR       was filed on \_\_\_\_\_ as U.S. Application No. \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims.

I acknowledge the duty to disclose information which is material to the patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim priority benefits under Title 35, United States Code, §119 of any foreign or U.S. Provisional application(s) for patent listed below, and have also identified below any foreign application(s) or Provisional application(s) for patent having a filing date before that of the application on which priority is claimed:

Prior Foreign or U.S. Provisional Application(s)

(Number)	(Country)	(Day/Month/Year Filed)
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**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following registered practitioners to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

John E. Beck	Reg. No. 22,833	Gunner G. Leinberg	Reg. No. 35,584
Ronald F. Chapuran	Reg. No. 26,402	Michael L. Goldman	Reg. No. 30,727
Jonathan A. Small	Reg. No. 32,631	Edwin V. Merkel	Reg. No. 40,087
Richard B. Domingo	Reg. No. 36,784	Jason H. Vick	Reg. No. 45,285
Henry Fleischer	Reg. No. 25,582	James E. Howard	Reg. No. 39,715
Mark Costello	Reg. No. 31,342	Daniel S. Song	Reg. No. 43,143
Eugene O. Palazzo	Reg. No. 20,881		

ADDRESS ALL CORRESPONDENCE TO:  
NIXON PEABODY LLP  
Clinton Square  
P.O. Box 31051  
Rochester, NY 14603  
USA

DIRECT TELEPHONE CALLS TO:  
(name and telephone number)  
Gunner G. Leinberg  
(716) 263-1000

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

**DECLARATION AND POWER OF ATTORNEY, continued**

Name of sole or first inventor: Michelle Q. Wang Baldonado

Inventor's Signature: *Michelle Q. Wang Baldonado* Date: 11/22/2000

Residence: 700 Alester Avenue  
Palo Alto, California 94303, US

Post Office Address:  
(Same as above)

Citizenship: United States of America

Name of second inventor: Paula S. Newman

Inventor's Signature: *Paula S. Newman* Date: 11/22/2000

Residence: 455 Traverso Avenue  
Los Altos, California 94022, US

Post Office Address:  
(Same as above)

Citizenship: United States of America

Name of third inventor: William C. Janssen, Jr.

Inventor's Signature: *William C. Janssen, Jr.* Date: 11/22/2000

Residence: 230 Jason Way  
Mountain View, California 94043, US

Post Office Address:  
(Same as above)

Citizenship: United States of America

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## UNITED STATES PATENT AND TRADEMARK OFFICE

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Bib Data Sheet

CONFIRMATION NO. 2069

SERIAL NUMBER 09/717,278	FILING DATE 11/22/2000 RULE	CLASS	GROUP ART UNIT 2155	ATTORNEY DOCKET NO. 1508-3170
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**APPLICANTS**

Michelle Q. Wang Baldonado, Palo Alto, CA;  
 Paula S. Newman, Los Altos, CA;  
 William C. Janssen Jr., Mountain View, CA;

**\*\* CONTINUING DATA \*\*\*\*\*****\*\* FOREIGN APPLICATIONS \*\*\*\*\*****IF REQUIRED, FOREIGN FILING LICENSE  
GRANTED \*\* 03/05/2001**

Foreign Priority claimed	<input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY CA	SHEETS DRAWING 8	TOTAL CLAIMS 20	INDEPENDENT CLAIMS 4
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature Initials				

**ADDRESS**

Gunnar G Leinberg Esq  
 Nixon Peabody LLP  
 Clinton Square  
 P O Box 31051  
 Rochester ,NY 14603

**TITLE**

Systems and methods for performing sender-independent managing of electronic messages

FILING FEE RECEIVED 790	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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